



min  
COPY OF PAPERS  
ORIGINALLY FILED

Sector  
PATENT

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Kuansan Wang

Appln. No.: 09/960,229

Filed : September 20, 2001

For : SERVERS FOR WEB ENABLED SPEECH  
RECOGNITION

Docket No.: M61.12-0391

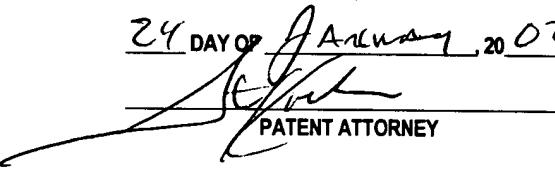
Group Art Unit:2162

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED  
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

**Box Missing Parts**  
Commissioner for Patents  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231, THIS

24 DAY OF January 2002

  
PATENT ATTORNEY

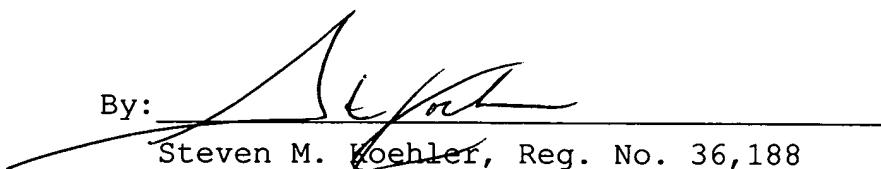
Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated October 24, 2001, Applicant encloses the following documents to complete the above-identified patent application filed September 20, 2001:

1. PTO-2038 in the amount of \$980.00 (to cover the filing fee \$740.00, surcharge fee of \$130.00 and extension of time fee of \$110.00).
2. Combined Declaration and Power of Attorney executed by the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date Granted.
5. Letter to Official Draftsperson.

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,  
WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Steven M. Koehler, Reg. No. 36,188  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

SMK:jmb



**UNITED STATES PATENT AND TRADEMARK OFFICE**

**COPY OF PAPERS  
ORIGINALLY FILED**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/960,229	09/20/2001	Kuansan Wang	M61.12-0391

**OCT 29 2001**

**CONFIRMATION NO. 5871**

**FORMALITIES LETTER**



'OC000000006963036'

WESTMAN, CHAMPLIN & KELLY  
A PROFESSIONAL ASSOCIATION  
INTERNATIONAL CENTRE, SUITE 1600  
900 SECOND AVENUE SOUTH  
MINNEAPOLIS, MN 55402-3319

Date Mailed: 10/24/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Microsoft

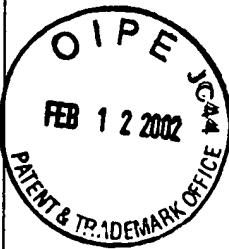
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2025 RELEASE UNDER E.O. 14176

# FEE TRANSMITTAL



COPY OF PAPERS  
ORIGINALLY FILED

Total Amount of Payment \$ 980

## METHOD OF PAYMENT (Check One)

1.  The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.  
Westman, Champin & Kelly, P.A.

2.  PTO-2038 Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity      Small Entity

Fee      Fee      Fee      Fee

Code    (\$)    Code    (\$)    Fee Description

101	740	201	370	<input checked="" type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Prov. Filing Fee

Subtotal (1) \$ 740

### 2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	19	20	0	18	0
Indep.	3	3	0	84	0

### Multiple Dependent Claims

\*\* Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity      Small Entity

Fee      Fee      Fee      Fee

Code    (\$)    Code    (\$)    Description

103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple Dependent Claims
109	84	209	42	Reissue Independent Claims over Original Patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 0

Complete If Known																																																																																																																																																																																												
Application No.		09/960,229																																																																																																																																																																																										
Filing Date		9/20/01																																																																																																																																																																																										
First Named Inventor		Kuansan Wang																																																																																																																																																																																										
Title		SERVERS FOR WEB ENABLED SPEECH RECOGNITION																																																																																																																																																																																										
Group Art Unit		2162																																																																																																																																																																																										
Examiner Name																																																																																																																																																																																												
Atty. Docket Number		M61.12-0391																																																																																																																																																																																										
FEE CALCULATION (Continued)																																																																																																																																																																																												
<p><b>3. ADDITIONAL FEES</b></p> <table> <thead> <tr> <th>Large Entity Fee</th> <th>Small Entity Fee</th> <th colspan="4">Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Code</th> <th>Fee (\$)</th> <th>Code</th> <th>Fee (\$)</th> <th colspan="3"></th> <th></th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td colspan="3">Surcharge - Late filing fee or oath</td> <td>130</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td colspan="3">Surcharge - Late provisional Filing Fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td colspan="3">Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td colspan="3">For Filing a Request for Reexamination. (ex parte)</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td colspan="3">Extension for reply within first month</td> <td>110</td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200</td> <td colspan="3">Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460</td> <td colspan="3">Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720</td> <td colspan="3">Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,960</td> <td>280</td> <td>980</td> <td colspan="3">Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td colspan="3">Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td colspan="3">Request for oral hearing</td> <td></td> </tr> <tr> <td>148</td> <td>110</td> <td>248</td> <td>55</td> <td colspan="3">Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td colspan="3">Petition to Revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,310</td> <td>241</td> <td>670</td> <td colspan="3">Petition to Revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,310</td> <td>242</td> <td>670</td> <td colspan="3">Utility/Reissue issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>143</td> <td>490</td> <td>243</td> <td>260</td> <td colspan="3">Design issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td colspan="3">Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td colspan="3">Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180</td> <td colspan="3">Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td colspan="3">Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td colspan="6">Other Fee (specify) _____</td> <td colspan="2">Subtotal (3) \$240</td> </tr> </tbody> </table>						Large Entity Fee	Small Entity Fee	Fee Description				Fee Paid	Code	Fee (\$)	Code	Fee (\$)					105	130	205	65	Surcharge - Late filing fee or oath			130	127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet				139	130	139	130	Non-English specification				147	2,520	147	2,520	For Filing a Request for Reexamination. (ex parte)				115	110	215	55	Extension for reply within first month			110	116	400	216	200	Extension for reply within second month				117	920	217	460	Extension for reply within third month				118	1,440	218	720	Extension for reply within fourth month				128	1,960	280	980	Extension for reply within fifth month				120	320	220	160	Filing a brief in support of an appeal				121	280	221	140	Request for oral hearing				148	110	248	55	Terminal Disclaimer Fee				140	110	240	55	Petition to Revive - unavoidable				141	1,310	241	670	Petition to Revive - unintentional				142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)				143	490	243	260	Design issue fee (inc. advance copies)				122	130	122	130	Petitions to the Commissioner				123	50	123	50	Petitions related to provisional applications				126	180	126	180	Submission of Information Disclosure Statement				581	40	581	40	Recording each patent assignment per property (times number of properties)				Other Fee (specify) _____						Subtotal (3) \$240	
Large Entity Fee	Small Entity Fee	Fee Description				Fee Paid																																																																																																																																																																																						
Code	Fee (\$)	Code	Fee (\$)																																																																																																																																																																																									
105	130	205	65	Surcharge - Late filing fee or oath			130																																																																																																																																																																																					
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet																																																																																																																																																																																								
139	130	139	130	Non-English specification																																																																																																																																																																																								
147	2,520	147	2,520	For Filing a Request for Reexamination. (ex parte)																																																																																																																																																																																								
115	110	215	55	Extension for reply within first month			110																																																																																																																																																																																					
116	400	216	200	Extension for reply within second month																																																																																																																																																																																								
117	920	217	460	Extension for reply within third month																																																																																																																																																																																								
118	1,440	218	720	Extension for reply within fourth month																																																																																																																																																																																								
128	1,960	280	980	Extension for reply within fifth month																																																																																																																																																																																								
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																																								
121	280	221	140	Request for oral hearing																																																																																																																																																																																								
148	110	248	55	Terminal Disclaimer Fee																																																																																																																																																																																								
140	110	240	55	Petition to Revive - unavoidable																																																																																																																																																																																								
141	1,310	241	670	Petition to Revive - unintentional																																																																																																																																																																																								
142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)																																																																																																																																																																																								
143	490	243	260	Design issue fee (inc. advance copies)																																																																																																																																																																																								
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																								
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																								
126	180	126	180	Submission of Information Disclosure Statement																																																																																																																																																																																								
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																								
Other Fee (specify) _____						Subtotal (3) \$240																																																																																																																																																																																						

Signature

(Steven M. Koehler)

Date 1/24/02

Reg. No. 36,188

Deposit Account No. 23-1123



**COPY OF PAPERS  
ORIGINALLY FILED**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Kuansan Wang

Appln. No.: 09/960,229

Filed : September 20, 2001

For : SERVERS FOR WEB ENABLED  
SPEECH RECOGNITION

Docket No.: M61.12-0391

Group Art Unit:2162

**LETTER TO OFFICIAL DRAFTSMAN  
(PROPOSED CORRECTIONS)**

Commissioner for Patents  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS  
BEING SENT BY U.S. MAIL, FIRST CLASS,  
TO THE ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, D.C. 20231, THIS

24 DAY OF January, 2002

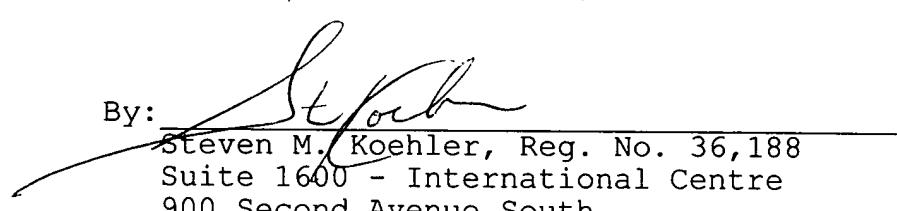
  
PATENT ATTORNEY

Sir:

Applicant respectfully requests approval of substitute drawings submitted with the accompanying Response to Notice to File Missing Parts.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Steven M. Koehler, Reg. No. 36,188  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

SMK:jmb